N‑Heterocyclic Carbene Dichotomy in Pd-Catalyzed Acylation of Aryl Chlorides via C-H Bond Functionalization

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ABSTRACT

 $R¹$ Ligand-controlled selectivity R^2 Chemo- and site-selectivity Aryl chlorides as substrates No decarbonylation events

The first Pd-catalyzed intramolecular acylation of aryl chlorides via C-H bond functionalization is presented. The method allows for the synthesis of a variety of elusive benzocyclobutenones with a wide range of functional groups and substitution patterns. We demonstrate that a change in the ligand backbone dictates the selectivity pattern.

Metal-catalyzed $C-H$ arylation protocols are now widely recognized as powerful synthetic tools in organic synthesis.¹ Despite the utility of aldehydes, perhaps the most versatile synthon in organic synthesis, an arylation event via $C-H$ functionalization of the aldehyde motif, is underrepresented in the C $-H$ arylation arena.² A close survey of the existing methodologies shows that directing groups are generally required and that the control of selectivity still represents a major concern; 2,3 however, the cleavage of directing groups is notoriously difficult under mild reaction conditions, thus limiting the application profile of these methodologies and enforcing a change in strategy. In this regard, the use of aryl halides constitutes an excellent alternative for increasing molecular complexity while lowering the overall cost for producing fine chemicals.4

In order to demonstrate the potential of the intramolecular acylation techniques via $C-H$ functionalization, we envisioned the synthesis of benzocyclobutenones (BCBs), unique scaffolds with great significance due to their versatility as synthetic intermediates.⁵ Such logic unravels readily accessible α -aryl aldehydes⁶ as the key building blocks (Scheme 1). This transformation is quite remarkable, as one $C-C$ bond must be formed while generating a rather strained ring. Recently, we reported the preparation of BCBs via C-H functionalization with aryl bromides as coupling counterparts.7 Unfortunately, this protocol was not yet satisfactory, since (a) the less reactive and more accessible aryl chlorides were totally inert;⁴ (b) the method showed low selectivity with multiple reaction sites, and (c) the reaction was restricted to α, α -disubstituted benzocyclobutenones. Although one might anticipate that

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Heck-type processes could also be utilized for similar purposes, 8 the inherent rigidity of the four-membered ring makes such a scenario highly unlikely.⁹ Despite the simple structure of BCBs, the drawbacks imparted by classical methods in terms of functional group tolerance and substitution patterns¹⁰ contribute to the perception that our approach in Scheme 1 represents a straightforward alternative to these compounds. Herein, we describe the first successful intramolecular acylation of aryl chlorides via C-H functionalization as a means to access BCBs that are beyond reach otherwise. In addition to the preparative aspects, our results reveal exquisite selectivity control depending on the chosen ligand.

We began our study with 1a as the model substrate (Scheme 2). On the basis of our own findings, 11 we anticipated that the supporting ligand would play an important, if not crucial, role in the route to 2a. Among all the ligands examined, N-heterocyclic carbenes (NHCs), showed superior activity as compared to phosphine ligands.¹² It is noteworthy that, unlike other aldehyde $C-H$ functionalization reactions,² competitive decarbonylation of 1a was not observed in the crude reaction mixtures. Intriguingly, while $L1$ afforded 3a exclusively, 13 the presence of a bulky adamantyl group in $L2$ had a deleterious impact on selectivity, with $2a$ in a 1:2 ratio (2a:3a). Gratifyingly, we found that L3, readily available on large scale from cheap commercial sources, 14 produced 2a as the only product, albeit in lower yields. Other related NHCs such as L4-L6 afforded mixtures of both 2a and 3a, thus showing the subtleties of the catalytic system.¹⁵

Scheme 2. Striking NHC Effects on Selectivity^a

The observed selectivity switch by catalyst tuning allows us to distinguish between different mechanistic scenarios.16 At present, we suggest that the higher buried volume of L3 is critical for achieving selectivity.^{17,18} Subsequently, the effects of palladium precatalysts, solvents, bases, and temperatures were systematically examined (Table 1). While typically employed $Pd(OAc)$ resulted in lower yields (entry 1), the use of allyl chloride palladium dimers $5-6$ gave better results (entries $3-4$), with a catalyst based upon 5 being the most active (entry 5). At this stage, we hypothesized that the presence of additives could accelerate the C-H functionalization event; as shown in entries $8-12$, this was indeed the case. After some optimization, we found that the synergistic use of $L3$ and allyl ether (9) allowed for the preparation of 2a in 80% yield (entry 10). We currently support the notion that allyl ether might be crucial for stabilizing monoligated $L3-Pd(0)$ species.^{19,20}

Next, we set out to explore the preparative scope of this reaction. As shown in Scheme 3, the functional group tolerance is nicely illustrated by the fact that differently substituted silyl ethers (2d and 2e), alkenes (2g), esters (2l), aldehydes $(2m$ and $2q)$, ketones $(2n)$, nitriles $(2p)$, amines (2o and 2r), fluorides (2t), or heterocycles (2s) are perfectly

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Table 1. Optimization of Reaction Conditions^{a}

^a 1a (0.50 mmol), Pd (5 mol %), L3 (7.5 mol %), Cs₂CO₃ (1.30 equiv), dioxane (0.25 M); GC yield using dodecane as internal standard.

^b 50 mol %. ^c THF as solvent. ^d Cyclpentylmethyl ether as solvent.

^e Pd/I **3** = 1:2 ^f K₂CO₂ (1.30 equiv). ⁸ NaOtBuO (1.30 equiv). $Pd/L3 = 1:2.^fK_2CO_3 (1.30 \text{ equiv}).^g \text{NaO/BuO} (1.30 \text{ equiv}).$

accommodated, thus providing an additional handle for further manipulation. The successful preparation of 2s indicates that the Pd catalytic species are not deactivated by the presence of strong nitrogen donors. Likewise, even unprotected alcohols (2v) could be coupled in good yields as well. As shown for 2t and 2u, ortho-substitution did not hinder the reaction at all. Even more important is the fact that this method allows, for the first time, the preparation of a monosubstituted BCB (2f) when using α -silylated aryl aldehydes as precursors. This is particularly noteworthy in view of the inability of aryl bromides to promote an otherwise identical reaction.^{7,21} In striking contrast to the previous use of aryl bromides, $\frac{7}{1}$ the method could also be extended to the preparation of five-membered rings (2w). Notably, no competing intermolecular acylation events were detected by spectroscopy of the crude reaction mixtures, thus selectively obtaining 2m and 2q. Overall, we believe these results not only show the exceptional activity and functional group compatibility but also the robustness of C-H functionalization catalysts based on $L3²²$ Encouraged by the selectivity switch in Scheme 2 when utilizing L1, a further extension of the scope of styrene derivatives was envisaged. As shown in Scheme 4 (bottom), the protocol based on L1 allows for the preparation of trisubstituted olefins 3b, 3c, 3d, and 3e with total regiocontrol and diastereoselectivities up to 8.4:1, even in the presence of free alcohols (3c).

The proven flexibility of this method suggested that our in tramolecular C $-H$ acylation event should be applicable to site-selectivity approaches.23 Gratifyingly, substrates Scheme 3. Reaction Scope

 a^a As for Table 1 (entry 10); isolated yields, average of two independent runs. ^b The 2-(trimethylsilyl)pentanal derivative was used followed by TBAF treatment. ^c Due to its volatility, the product was isolated as the benzocyclobutanol by treatment with NaBH₄ in MeOH. d 5 (5.0 mol %) was used. ^{*e*} Cs₂CO₃ (2.60 equiv) was used. ^{*f*} **5** (3.0 mol %), **L3** (12 mol %), allyl ether (60 mol %). s 5 (2 mol %) and L1 (6.0 mol %); isolated yields. $E/Z = 1:1.6.^{j}140^{\circ}$ °C.

possessing multiple C $-H$ or C $-Cl$ reactive sites could be equally employed, affording $2x$ and $2y$ exclusively (Scheme 4); importantly, not even traces of 10 via intramolecular C-H arylation²⁴ or 11 were observed by NMR spectroscopy of the crude material.²⁵ These findings challenge the general perception that the preparation of smaller and more strained rings are lower yielding than standard routes to thermodynamically more stable medium-sized rings.

The exceptional reactivity and versatility of BCBs is illustrated in Scheme 5. Exposure of $2b$ to NaBH₄ followed

⁽²¹⁾ No monosubstituted benzocyclobutenones were obtained under the reaction conditions reported in ref 7.

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Scheme 4. Site Selectivity with Multiple Reaction Sites

by $PPh₃/I₂$ treatment cleanly afforded synthetically attractive 12 in high overall yield. Likewise, indanone 13 possessing two contiguous quaternary centers or phthalide 14 could easily be obtained via ring expansion promoted by IC^{5c} or regioselective Baeyer-Villiger oxidation with magnesium monoperphthalate.^{5e}

Scheme 5. Synthetic Applicability

In order to gain more insight into the mechanism, we decided to gather indirect evidence via isotope labeling (Scheme 6). We observed $k_H/k_D = 0.93$ when comparing the initial rates of $1b$ and $1b-D_1$. This experiment suggests that $C-H$ cleavage is not rate determining, an intriguing

Scheme 6. Mechanistic Studies

observation given the known literature data for related processes.3b,7 Quite illustrative, the deuterium label in $1a-D_1$ was totally transferred to the aromatic motif in $3a-D_1$ using L1 as the ligand. At present, we support a mechanistic scenario in which the initial oxidative addition species undergoes a $C-H$ functionalization via a concerted metalation deprotonation pathway $(CMD)^{26}$ and, in the presence of L3, final reductive elimination to afford the desired BCB 2 while recovering the active species.^{7,27} We propose that the less-sterically encumbered L1 facilitates an intramolecular proton transfer followed by CO extrusion and β -hydride elimination, thus affording the olefin 3.¹³

In summary, the first intramolecular acylation of aryl chlorides via $C-H$ bond functionalization en route to benzocyclobutenones has been developed. The protocol is characterized by its broad scope and exceptional site selectivity in which the ligand backbone dictates the selectivity pattern. We believe such a transformation will bring new knowledge in catalyst design. In further studies, we aim to explore the asymmetric reaction and the potential of this and related transformations.

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Supporting Information Available. General procedures and spectral data for all new compounds $(2a-2y, 3a-3f,$ and $12-14$). This material is available free of charge via the Internet at http://pubs.acs.org.

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